

01-28-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Utility Patent Application Transmittal Letter

Date : January 25, 2002
Reference No.: 0007975-0032

Assistant Commissioner for Patents
Washington, D. C. 20231
Box Patent Application

Enclosed for filing is a patent application (specification, claims, Declaration and Power of Attorney)

ENTITLED: RING RESONATOR BASED NARROW-LINEWIDTH SEMICONDUCTOR LASERS

INVENTOR(S): Alexander Ksendzov

() This application is a _____ of Application No. _____, filed _____.
A copy of this letter is enclosed for filing in the prior application file.

J1050 U.S. PTO
10/057427
01/25/02

CALCULATION OF FEES				
ITEM	NUMBER OF CLAIMS FILED MINUS BASE*	NUMBER OF CLAIMS OVER BASE X RATE	\$ AMOUNT	\$ FEE
A TOTAL CLAIM FEES	22-20* = 3	2 x \$ 9.00	18.00	
B INDEPENDENT CLAIMS FEE**	2-3 = 0	0 x \$ 42.00	0	
C SUBTOTAL			SMALL ENTITY FEE = A + B LARGE ENTITY FEE = 2 x (A + B)	18.00
D BASIC FEE*			SMALL ENTITY FEE = \$ 370.00 LARGE ENTITY FEE = \$ 740.00	370.00
E MULTIPLE-DEPENDENT CLAIMS FEE			SMALL ENTITY FEE = \$ 140.00 LARGE ENTITY FEE = \$ 280.00	0
F ASSIGNMENT RECORDING FEE			\$ 40.00	0
G TOTAL FEE (ADD LINES C, D, E AND F)				388.00

**List Independent Claims: 1 and 12

☒ This application is incomplete because:
☐ No filing fee is enclosed. (If no filing fee is enclosed, ignore authorization of the Deposit Account.)
☒ No executed declaration.

Also enclosed are:

☐ Check for \$ _____
☒ Small entity claimed under 37 CFR 1.27.
☐ A Petition for Extension of Time for the parent application and the required fee.
☐ _____ Sheet(s) of informal drawings, FIGS. 1 to _____. Formal drawings will be sent after Notice of Allowance.
☒ 8 Sheet(s) of formal drawings, FIGS. 1 to 8.
☐ An Assignment of the invention with the Recordation Form Cover Sheet.
☐ Other enclosures: Copy of Assignment dated _____

☒ The Commissioner is authorized during the prosecution of this application to charge fees that may be required or credit any overpayment of fees to Deposit Account No. 50-1215, except for payment of patent issue fees required under 37 CFR § 1.18. Please show our above-referenced number with any credit or charge to our Deposit Account. A copy of this letter is enclosed.

Please address all correspondence to J. D. Harriman II, COUDERT BROTHERS LLP, 333 South Hope Street, 23rd Floor, Los Angeles, California 90071. Telephone No. (213) 229-2900.

CERTIFICATE OF MAILING 37 CFR §1.10

"Express Mail" Mailing Label Number: ET 235569186 US

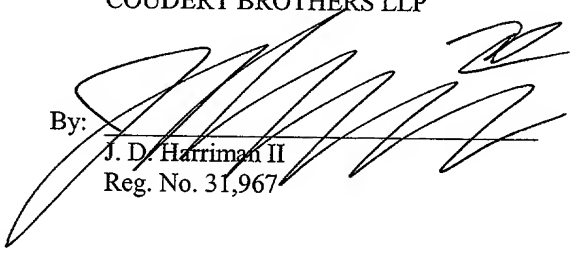
Date of Deposit: January 25, 2002

I hereby certify that this paper, accompanying documents and fee are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, Box Patent Application.

Jose Ramos

Respectfully submitted,

COUDERT BROTHERS LLP

By: 
J. D. Harriman II
Reg. No. 31,967

J1054 U.S. PTO
01/25/02

10057427-013502